# 2024 Current Fiscal Year Report: National Advisory Allergy and Infectious Diseases Council

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1. Department or Agency 2. Fiscal Year

Department of Health and Human Services 2024

3b. GSA

Committee No.

National Advisory Allergy and Infectious

Diseases Council 938

4. Is this New During 5. Current 6. Expected 7. Expected Fiscal Year? Charter Renewal Date Term Date

No 06/30/2022 06/30/2024

8a. Was Terminated During 8b. Specific Termination Authority 8c. Actual Term Date

No

9. Agency 10b. 10a. Legislation

Recommendation for Next Req to Terminate?

FiscalYear Legislation Legislation Pending?

Continue Not Applicable Not Applicable

11. Establishment Authority Statutory (Congress Created)

12. Specific 13. 14.

Establishment Effective Committee

Authority Date Type Presidential?

42 U.S.C. 284a 11/20/1985 Continuing No

**15. Description of Committee** Other Committee

16a. Total

No Reports for this FiscalYear

Reports

17a.

Open 0 17b. Closed 0 17c. Partially Closed 0 Other Activities 0 17d. Total 0

**Meetings and Dates** 

No Meetings

**Current Next** 

FY FY

| 18a(1). Personnel Pmts to<br>Non-Federal Members               | \$0.00\$0.00 |
|--|--------------|
| 18a(2). Personnel Pmts to Federal Members                      | \$0.00\$0.00 |
| 18a(3). Personnel Pmts to Federal Staff                        | \$0.00\$0.00 |
| 18a(4). Personnel Pmts to Non-Member Consultants               | \$0.00\$0.00 |
| 18b(1). Travel and Per Diem to Non-Federal Members             | \$0.00\$0.00 |
| 18b(2). Travel and Per Diem to Federal Members                 | \$0.00\$0.00 |
| 18b(3). Travel and Per Diem to Federal Staff                   | \$0.00\$0.00 |
| 18b(4). Travel and Per Diem to Non-member Consultants          | \$0.00\$0.00 |
| 18c. Other(rents,user charges, graphics, printing, mail, etc.) | \$0.00\$0.00 |
| 18d. Total   | \$0.00\$0.00 |
| 19. Federal Staff Support Years (FTE)                          | 0.00 0.00    |

# 20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B)...biomedical and behavioral research and development contracts.... This council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and research needs and opportunities for the Institute. Of the applications that were reviewed by initial review groups for the National Institute of Allergy and Infectious Diseases, the Council reviewed 13,161 applications in the amount of \$19,292,085,066.00 in addition the council reviewed 253 research

contracts for \$643,405,472.00. Reports to the Council were given by the directors of the Vaccine Research Center and the NIAID Division of Intramural Research.

# 20b. How does the Committee balance its membership?

The National Advisory Allergy and Infectious Diseases Council is composed of not more than 18 members with research interests and responsibilities paralleling those of the National Institute of Allergy and Infectious Diseases. Two-thirds of the members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of immunology, immunologic and allergic diseases, microbiology and infectious diseases, virology, epidemiology, tropical diseases, and sexually transmitted diseases including AIDS. One-third of the members are representatives of the general public with interests in public policy, law, health policy, economics and management. The Committee also includes at least 5 nonvoting ex officio members. The nonvoting ex officio members will include the Secretary; the Director, NIH; the Director, NIAID; the Under Secretary for Health of the Department of Veterans Affairs; the Assistant Secretary of Defense for Health Affairs (or their designees); and any additional officers or employees of the United States as the Secretary determines necessary for the Council to effectively carry out its functions.

# 20c. How frequent and relevant are the Committee Meetings?

The Committee met three times in fiscal year 2023. The National Advisory Allergy and Infectious Diseases Council provides advice on a continuing basis on the type of scientific research programs

that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs. One member serves as a non-voting representative of this Council to the Office of AIDS Research Advisory Council.

### 20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Allergy and Infectious Diseases Council is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this council cannot be obtained from NIH staff or from other established sources because the membership of the council is constituted to meet the specific requirements of the mandated mission of the council and of the Institute.

## 20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Allergy and Infectious Diseases Council were partially closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permits the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

#### 21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any public reports during the fiscal year. The DFO and Decision Maker are the same person, the Director, Division of Extramural Research because this division depends on recommendations made by the Council, and subsequent federal decisions to carry out its mission. The DFO and Decision Maker changed from Matthew Fenton, term end date of 12/6/2022 to Kelly Poe with a start date of 12/07/2022. The Charter allows the Committee to have 24 authorized members including but not limited to SGEs and Ex-Officios. The Charter authorizes five Ex-Officios (Secretary Becerra, Dr. Davey, Dr. Fauci, Dr. Tabak, Col. Tyner, and David J. Smith). There is one additional Ex-Officio approved by memorandum to serve on this committee (Dr. Butler) representing the CDC which equals to the total of 24 Max. Col. Tyner end date 5/15/23 and was replaced by David J. Smith with a start date of 08/30/2023. Dr. Lawrence Tabak's title was updated from Performing the Duties of the NIH Director to Acting Director, NIH this fiscal year. Dr. Anthony Fauci's term date was changed to reflect term end date 12/07/2022 of service as ex officio. Members, Elling E. Eidbo, Ritu Agarwal, Amita A. Gupta and Gwendalyn J. Randolph whose terms were set to expire on 10/31/2022 were administratively extended to 04/29/2023.

#### **Designated Federal Officer**

Kelly Poe Director, Division of Extramural Research

| Committee<br>Members    | Start      | End                   | Occupation          | Member<br>Designation |
|-------------------------|------------|-----------------------|---------------------|-----------------------|
| Auchincloss, 12/08/2022 | 10/31/2024 | Acting Director,      |                     |                       |
|                         |            | National Institute of | Ex Officio          |                       |
| Hugh                    | gh         | 10/31/2024            | Allergy and         | Member                |
|                         |            |                       | Infectious Diseases |                       |

| Becerra,<br>Xavier    | 03/19/2021 | 01/20/2025 | Secretary, U.S.<br>Department of<br>Health and Human<br>Services                           | Ex Officio<br>Member                                 |
|-----------------------|------------|------------|--|--|
| Bockenstedt,<br>Laura | 09/12/2021 | 10/31/2024 | Harold W. Jockers<br>Professor of<br>Medicine, Yale<br>University                          | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Brown, Emily          | 01/17/2023 | 10/31/2025 | Co-Founder and<br>CEO, Free From<br>Market   | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Davey,<br>Victoria    | 06/08/2010 | 12/31/2023 | Chief Officer,<br>Department of<br>Veterans Affairs  | Ex Officio<br>Member                                 |
| Estes, Mary           | 01/17/2023 | 10/31/2025 | Distinguished<br>Service Professor,<br>Baylor College of<br>Medicine                       | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Gandhi,<br>Monica     | 04/30/2020 | 10/31/2023 | Professor of<br>Clinical Medicine,<br>University of<br>California, San<br>Francisco        | Special Government Employee (SGE) Member             |
| Gern, James           | 01/17/2023 | 10/31/2025 | Professor,<br>University of<br>Wisconsin   | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Goepfert,<br>Paul     | 04/29/2020 | 10/31/2023 | Professor,<br>University of<br>Alabama at<br>Birmingham                                    | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Greenberg,<br>Harry   | 05/13/2020 | 10/31/2023 | Associate Dean for<br>Research, Stanford<br>University                                     |  |
| Jernigan,<br>Daniel   | 01/24/2023 | 01/16/2027 | Acting Director,<br>National Center for<br>Emerging and<br>Zoonotic Infectious<br>Diseases | Ex Officio<br>Member                                 |
| Jerome,<br>Keith      | 01/30/2022 | 10/31/2024 | Member, Fred<br>Hutchinson Cancer<br>Research Center                                       | Special<br>Government<br>Employee<br>(SGE)<br>Member |

| Morel,<br>Laurence   | 01/17/2023 | 10/31/2025 | Chair and<br>Professor,<br>University of Texas<br>Health Science<br>Center at San<br>Antonio        | Special<br>Government<br>Employee<br>(SGE)<br>Member |
|----------------------|------------|------------|---|--|
| Palmer, Guy          | 01/17/2023 | 10/31/2025 | Regents Professor<br>of Pathology and<br>Infectious<br>Diseases,<br>Washington State<br>University  | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Pettifor,<br>Audrey  | 04/30/2020 | 10/31/2023 | Professor,<br>University of North<br>Carolina, Chapel<br>Hill                                       | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Smith, David         | 08/30/2023 | 12/31/2027 | Acting Principal Deputy Assistant Secretary of Defense for Health Affairs, US Department of Defense | Ex Officio<br>Member                                 |
| Stuart,<br>Kenneth   | 05/14/2020 | 10/31/2023 | Professor/Principal<br>Investigator,<br>Seattle Children's<br>Research Institute                    | Special<br>Government<br>Employee<br>(SGE)<br>Member |
| Tabak,<br>Lawrence   | 12/20/2021 | 01/20/2025 | Acting Director,<br>NIH   | Ex Officio<br>Member                                 |
| Taylor,<br>Stephanie | 09/12/2021 | 10/31/2024 | Professor of<br>Medicine,<br>Louisiana State<br>University Health<br>Sciences Center                | Special<br>Government<br>Employee<br>(SGE)<br>Member |

**Number of Committee Members Listed: 19** 

#### **Narrative Description**

NIH's mission is to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that "The Secretary....shall by regulation

require appropriate technical and scientific peer review of –(A) applications ...; and (B) ...biomedical and behavioral research and development contracts..."The National Advisory Allergy and Infectious Diseases Council provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies research needs of the National Institute of Allergy and Infectious Diseases.

### What are the most significant program outcomes associated with this committee?

|  | Checked if           |
|--|----------------------|
|  | Applies              |
| Improvements to health or safety       |                      |
| Trust in government                    |                      |
| Major policy changes                   | ✓                    |
| Advance in scientific research         | ✓                    |
| Effective grant making                 | ✓                    |
| Improved service delivery              |                      |
| Increased customer satisfaction        |                      |
| Implementation of laws or regulatory   |                      |
| requirements                           |                      |
| Other                                  |                      |
| Outcome Comments                       |                      |
| NA                                     |                      |
| What are the cost savings associated w | vith this committee? |
|  | Checked if Applies   |
| None                                   |                      |
| Unable to Determine                    | ✓                    |
| Under \$100,000                        |                      |
| \$100,000 - \$500,000                  |                      |
| \$500,001 - \$1,000,000                |                      |
| \$1,000,001 - \$5,000,000              |                      |
| \$5,000,001 - \$10,000,000             |                      |
| Over \$10,000,000                      |                      |

#### **Cost Savings Comments**

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new way to treat and prevent diseases.

What is the approximate <u>Number</u> of recommendations produced by this committee for the life of the committee? 200,492

#### **Number of Recommendations Comments**

The number of recommendations includes the second level review of grant applications.

What is the approximate <u>Percentage</u> of these recommendations that have been or will be <u>Fully</u> implemented by the agency?

0%

#### % of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at http://report.nih.gov.

What is the approximate <u>Percentage</u> of these recommendations that have been or will be <u>Partially</u> implemented by the agency?

0%

#### % of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at http://report.nih.gov.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

| Yes ✓ No Not Applicable  |                                       |  |
|--|---------------------------------------|--|
| Agency Feedback Comments Information is provided to the public at the open session of each Council meeting through reports and the Director's update. The public can view information related to the Council through the council's official website. |                                       |  |
| What other actions has the agency taken as recommendation?   | a result of the committee's advice or |  |
|  | Checked if Applies                    |  |
| Reorganized Priorities   | <b>×</b>                              |  |
| Reallocated resources  |                                       |  |
| Issued new regulation  |                                       |  |
| Proposed legislation   |                                       |  |
| Approved grants or other payments  | ✓                                     |  |
| Other  |                                       |  |
|  |                                       |  |
| Action Comments  |                                       |  |
| An action of "approved" or "recommended" for grants receiving review by this Council   |                                       |  |
| does not infer that the grant will be or has been funded. Research grant applications  |                                       |  |
| submitted to NIH must go through a two-step review process that includes the initial peer  |                                       |  |
| review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award  |                                       |  |
| or funding being made, NIH staff must conduct an administrative review for a number of   |                                       |  |
| other considerations. These include alignment with NIH's funding principles, review of the   |                                       |  |
| project budget, assessment of the applicant's management systems, determination of   |                                       |  |
| applicant eligibility, and compliance with public policy requirements. After all these steps   |                                       |  |
| have been completed, NIH officials make funding decisions on individual grant  |                                       |  |
| applications.  |                                       |  |
| Is the Committee engaged in the review of applications for grants?   |                                       |  |
|  | . p                                   |  |

Yes

What is the estimated  $\underline{\textbf{Number}}$  of grants reviewed for approval

What is the estimated **Number** of grants recommended for 13,161

approval 13,161

What is the estimated  $\underline{\textbf{Dollar Value}}$  of grants recommended for approval

\$19,292,085,066

### **Grant Review Comments**

### How is access provided to the information for the Committee's documentation?

|                           | Checked if Applies |
|---------------------------|--------------------|
| Contact DFO               | ✓                  |
| Online Agency Web Site    | ✓                  |
| Online Committee Web Site | ✓                  |
| Online GSA FACA Web Site  | ✓                  |
| Publications              |                    |
| Other                     | ✓                  |

### **Access Comments**

Contact IC Committee Management Officer 240-669-5201