

2024 Current Fiscal Year Report: National Institute of Neurological Disorders and Stroke Special Emphasis Panel

Report Run Date: 04/20/2024 01:40:23 AM

| | | | |
|--|---|----------------------------------|------------------------------|
| 1. Department or Agency | | 2. Fiscal Year | |
| Department of Health and Human Services | | 2024 | |
| 3. Committee or Subcommittee | | 3b. GSA Committee No. | |
| National Institute of Neurological Disorders and Stroke Special Emphasis Panel | | 111 | |
| 4. Is this New Fiscal Year? | 5. Current Charter | 6. Expected Renewal Date | 7. Expected Term Date |
| No | 06/01/1986 | | |
| 8a. Was Terminated During Fiscal Year? | 8b. Specific Termination Authority | 8c. Actual Term Date | |
| No | | | |
| 9. Agency Recommendation for Next Fiscal Year | 10a. Legislation Req to Terminate? | 10b. Legislation Pending? | |
| Continue | Not Applicable | Not Applicable | |
| 11. Establishment Authority Authorized by Law | | | |
| 12. Specific Establishment Authority | 13. Effective Date | 14. Committee Type | 14c. Presidential? |
| 42 U.S.C. 282(b)(16) | 11/20/1985 | Continuing | No |
| 15. Description of Committee Special Emphasis Panel | | | |
| 16a. Total Number of Reports | No Reports for this Fiscal Year | | |
| 17a. Open | 17b. Closed | 17c. Partially Closed | Other Activities |
| 0 | 0 | 0 | 0 |
| 17d. Total | | | |
| 0 | | | |
| Meetings and Dates | | | |
| No Meetings | | | |

| | Current FY | Next FY |
|---|---------------|------------|
| 18a(1). Personnel Pmts to Non-Federal Members | \$0.00 | \$0.00 |
| 18a(2). Personnel Pmts to Federal Members | \$0.00 | \$0.00 |
| 18a(3). Personnel Pmts to Federal Staff | \$0.00 | \$0.00 |
| 18a(4). Personnel Pmts to Non-Member Consultants | \$0.00 | \$0.00 |
| 18b(1). Travel and Per Diem to Non-Federal Members | \$0.00 | \$0.00 |
| 18b(2). Travel and Per Diem to Federal Members | \$0.00 | \$0.00 |
| 18b(3). Travel and Per Diem to Federal Staff | \$0.00 | \$0.00 |
| 18b(4). Travel and Per Diem to Non-member Consultants | \$0.00 | \$0.00 |
| 18c. Other(rents,user charges, graphics, printing, mail, etc.) | \$0.00 | \$0.00 |
| 18d. Total | \$0.00 | \$0.00 |
| 19. Federal Staff Support Years (FTE) | 0.00 | 0.00 |

20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that "The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B) biomedical and behavioral research and development contracts...." This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first level merit review of highly scientific and technical research grant applications and contract proposals in the fields of neuroimmunology, neurovirology, academic

medicine, basic research and clinical sciences related to the mission of the National Institute of Neurological Disorders and Stroke (NINDS). Operation of this committee is accomplished using a fluid membership, with members designated to serve for individual meetings rather than formally appointed for fixed terms of service. During this reporting period the committee reviewed 1,109 applications requesting \$3,748,277,846.00 in total direct costs for all years.

20b. How does the Committee balance its membership?

This committee has a fluid membership, with members designated to serve for individual meetings rather than formally appointed for fixed terms of service. The reviewers for each meeting are selected to evaluate grant applications and/or contract proposals for a specific, perhaps narrow, expertise area. Participants for each meeting are assembled to most efficiently cover the number and breadth of applications or contracts requiring review.

20c. How frequent and relevant are the Committee Meetings?

The National Institute of Neurological Disorders and Stroke Special Emphasis Panel held 80 meetings during this reporting period.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications and contract proposals. These evaluations and

recommendations cannot be obtained from other sources because the specialized, complex nature of the applications and proposals requires a unique balance and breadth of expertise not available on the NIH staff or from other established sources.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Institute of Neurological Disorders and Stroke Special Emphasis Panel were closed to the public for the review of grant applications and contract proposals. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

The members of this Special Emphasis Panel (SEP) do not have standing appointments and serve on an as needed basis for meetings throughout the fiscal year. Therefore, the Members list reflects meeting dates, not appointment start and end dates. While only one meeting date is listed as an appointment start and end date, a member may have attended several meetings, either as a chairperson, co-chair, or as a member, throughout the fiscal year. As a result, the Members list, including the number of chairs, may not align or directly match to specific meeting dates. Meeting rosters, including members' affiliations and zip codes are available online at <https://public.era.nih.gov/pubroster/>. This committee did not produce any public reports

during the fiscal year. This committee does not have a public website. The DFO and Committee Decision Maker positions are both held by W. Ernest Lyons, Ph.D. He is the Chief, Scientific Review Branch, Division of Extramural Activities, NINDS. Due to the large number of members associated with this committee, NIH staff are unable to provide individual zip codes for all members. The members of this Special Emphasis Panel (SEP) do not have standing appointments and serve on an as needed basis for meetings throughout the fiscal year. Therefore, the Members list reflects meeting dates, not appointment start and end dates. While only one meeting date is listed as an appointment start and end date, a member may have attended several meetings, either as a chairperson, co-chair, or as a member, throughout the fiscal year. As a result, the Members list, including the number of chairs, may not align or directly match to specific meeting dates. Meeting rosters, including members' affiliations and zip codes are available online at <https://public.era.nih.gov/pubroster/>.

Designated Federal Officer

W. ERNEST LYONS CHIEF, SCIENTIFIC
REVIEW BRANCH

Narrative Description

NIH's mission is to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability. Section 492 of the PHS Act states that "The Secretary . . . shall by regulation require appropriate technical and scientific peer review of – (A) applications . . . and (B) biomedical and behavioral research and development contracts . . ." This committee is composed of recognized biomedical and/or behavioral

research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications.

Operation of this committee is accomplished by using a fluid membership, with members designated to serve for individual meetings rather than being formally appointed for fixed terms of service.

What are the most significant program outcomes associated with this committee?

Checked if
Applies

| | |
|---|-------------------------------------|
| Improvements to health or safety | <input type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input type="checkbox"/> |
| Advance in scientific research | <input checked="" type="checkbox"/> |
| Effective grant making | <input checked="" type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

The recommendations of this committee are a major basis for NINDS decisions about how to select a subset of highly qualified grant applications for funding.

What are the cost savings associated with this committee?

Checked if Applies

| | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH-supported basic and clinical research accomplishments often take many years to be translated into new diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

14,005

Number of Recommendations Comments

This is the approximate number of applications reviewed by this committee from FY 2003 to 2023.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

NIH Peer Review Committees are involved in the initial review of research grant applications. The NIH dual peer review system is mandated by statute in accordance with section 492 of the Public Health Service Act. The charge to this committee is to determine scientific and technical merit of the individual grants or contracts. These recommendations are forwarded to Federal officials who generally accept the committee's recommendations and favorable applications are then forwarded for the second level of review performed by Institute and Center (IC) National Advisory Councils or Boards. Only applications that are favorably recommended by both the initial peer review committee and the Advisory Council may be recommended for funding.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

NIH Peer Review Committees are involved in the initial review of research grant applications. The NIH dual peer review system is mandated by statute in accordance with section 492 of the Public Health Service Act. The charge to this committee is to determine scientific and technical merit of the individual grants or contracts. These recommendations are forwarded to Federal officials who generally accept the committee's recommendations and favorable applications are then forwarded for the second level of review performed by

Institute and Center (IC) National Advisory Councils or Boards. Only applications that are favorably recommended by both the initial peer review committee and the Advisory Council may be recommended for funding.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Information resulting from closed initial peer review meetings is subject to the Freedom of Information Act. The public can view information on research projects funded by NIH on the RePORT (Research Portfolio Online Reporting Tool) website located at <http://report.nih.gov>.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

| | |
|-----------------------------------|-------------------------------------|
| Reorganized Priorities | <input type="checkbox"/> |
| Reallocated resources | <input type="checkbox"/> |
| Issued new regulation | <input type="checkbox"/> |
| Proposed legislation | <input type="checkbox"/> |
| Approved grants or other payments | <input checked="" type="checkbox"/> |
| Other | <input type="checkbox"/> |

Action Comments

An action of "approved" or "recommended" for grants receiving initial peer review by this committee does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council for program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated **Number** of grants reviewed for approval

What is the estimated **Number** of grants recommended for approval 1,109
1,109

What is the estimated **Dollar Value** of grants recommended for approval
\$3,748,277,846

Grant Review Comments

These numbers reflect the total number of applications considered (both scored and not discussed) and the dollar amount requested. The committee also provides numerical ratings to indicate the relative merit of the applications that are discussed.

How is access provided to the information for the Committee's documentation?

Checked if Applies

| | |
|---------------------------|-------------------------------------|
| Contact DFO | <input checked="" type="checkbox"/> |
| Online Agency Web Site | <input checked="" type="checkbox"/> |
| Online Committee Web Site | <input type="checkbox"/> |
| Online GSA FACA Web Site | <input checked="" type="checkbox"/> |
| Publications | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Access Comments

N/A