

1998 Current Fiscal Year Report: Advisory Committee on CFTC-State Cooperation

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1. Department or Agency	2. Fiscal Year
Commodity Futures Trading Commission	1998
3. Committee or Subcommittee	3b. GSA Committee No.
Advisory Committee on CFTC-State Cooperation	304

4. Is this New During Fiscal Year?	5. Current Charter	6. Expected Renewal Date	7. Expected Term Date
No	03/11/1996		03/11/1998

8a. Was Terminated During Fiscal Year?	8b. Specific Termination Authority	8c. Actual Term Date
Yes		03/11/1998

9. Agency Recommendation for Next Fiscal Year	10a. Legislation Req to Terminate?	10b. Legislation Pending?
Terminate		

11. Establishment Authority	Agency Authority		
12. Specific Establishment Authority	13. Effective Date	14. Committee Type	14c. Presidential?
C.O. 3/26/76 41 F.R. 13393	03/30/1976	Continuing	No

15. Description of Committee Non Scientific Program Advisory Board

16a. Total Number of Reports No Reports for this Fiscal Year

17a. Open 0 **17b. Closed** 0 **17c. Partially Closed** 0 **Other Activities** 0 **17d. Total** 0

Meetings and Dates
No Meetings

	Current	Next
	FY	FY
18a(1). Personnel Pmts to Non-Federal Members	\$0.00	\$0.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$302.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$0.00	\$0.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$0.00	\$0.00
18d. Total	\$302.00	\$0.00
19. Federal Staff Support Years (FTE)	0.00	0.00

20a. How does the Committee accomplish its purpose?

N/A

20b. How does the Committee balance its membership?

N/A

20c. How frequent and relevant are the Committee Meetings?

N/A

20d. Why can't the advice or information this committee provides be obtained elsewhere?

N/A

20e. Why is it necessary to close and/or partially closed committee meetings?

N/A

21. Remarks

This Committee was terminated in FY 1998.

Designated Federal Officer

TBD

Committee Members	Start	End	Occupation	Member Designation
Beaulieu, Paul	10/01/1997	09/30/1998	Deputy Director, National Association of Attorneys General	Special Government Employee (SGE) Member
Crawford, Denise	10/01/1997	09/30/1998	Securities Commissioner, Texas State Securities Board	Special Government Employee (SGE) Member
Damgard, John	10/01/1997	09/30/1998	President, Futures Industry Association	Special Government Employee (SGE) Member
Feigin, Phil	10/01/1997	09/30/1998	Securities Commissioner, Division of Securities Colorado	Special Government Employee (SGE) Member
Gaine, John	10/01/1997	09/30/1998	Director, government Relations, Managed Futures Association	Special Government Employee (SGE) Member
Harris, Dee	10/01/1997	09/30/1998	Director, Securities Division, Arizona Corporation Commission	Special Government Employee (SGE) Member
Holum, Barbara	10/01/1997	09/30/1998	Commissioner, commodity Futures Trading Commission	Special Government Employee (SGE) Member

Howard, Jr., John	10/01/1997	09/30/1998	Asst. Attorney General, Securities Division	Special Government Employee (SGE) Member
Jamison, Conny	10/01/1997	09/30/1998	Treasurer, city of San Diego	Special Government Employee (SGE) Member
Jones, II, Paul	10/01/1997	09/30/1998	Chairman & CEO, Tudor Investments	Special Government Employee (SGE) Member
Lindsey, Richard	10/01/1997	09/30/1998	Director, Div. of Market Regulation, Securities and Exchange Commission	Special Government Employee (SGE) Member
Newton, Robert	10/01/1997	09/30/1998	Director, Securities Dept, Office of the Secretary of State	Special Government Employee (SGE) Member
Ruck, Lee	10/01/1997	09/30/1998	General Counsel, National Association fo Countries	Special Government Employee (SGE) Member
Saxon, Don	10/01/1997	09/30/1998	Director, division of Securities, Florida	Special Government Employee (SGE) Member
Spearing, Mary	10/01/1997	09/30/1998	Chief of Fraud Section, U.S. Dept. of Justice	Special Government Employee (SGE) Member
Valdez, Danette	10/01/1997	09/30/1998	Senior Corporation Counsel	Special Government Employee (SGE) Member
Wilmouth, robert	10/01/1997	09/30/1998	President & CEO, National Futures Association	Special Government Employee (SGE) Member

Number of Committee Members Listed: 17

Narrative Description

What are the most significant program outcomes associated with this committee?

Checked if Applies

- Improvements to health or safety
- Trust in government
- Major policy changes
- Advance in scientific research
- Effective grant making
- Improved service delivery
- Increased customer satisfaction
- Implementation of laws or regulatory requirements
- Other

Outcome Comments

What are the cost savings associated with this committee?

Checked if Applies

- None
- Unable to Determine
- Under \$100,000
- \$100,000 - \$500,000
- \$500,001 - \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$10,000,000
- Over \$10,000,000
- Cost Savings Other

Cost Savings Comments

What is the approximate Number of recommendations produced by this committee for the life of the committee?

0

Number of Recommendations Comments

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

%

% of Recommendations Fully Implemented Comments

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

%

% of Recommendations Partially Implemented Comments

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes No Not Applicable

Agency Feedback Comments

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

- Reorganized Priorities
- Reallocated resources
- Issued new regulation
- Proposed legislation
- Approved grants or other payments
- Other

Action Comments

Is the Committee engaged in the review of applications for grants?

No

Grant Review Comments

How is access provided to the information for the Committee's documentation?

Checked if Applies

- | | |
|---------------------------|--------------------------|
| Contact DFO | <input type="checkbox"/> |
| Online Agency Web Site | <input type="checkbox"/> |
| Online Committee Web Site | <input type="checkbox"/> |
| Online GSA FACA Web Site | <input type="checkbox"/> |
| Publications | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Access Comments