

1998 Current Fiscal Year Report: Advisory Committee on CFTC-State Cooperation

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1. Department or Agency

Commodity Futures Trading
Commission

2. Fiscal Year

1998

3. Committee or Subcommittee

Advisory Committee on CFTC-State
Cooperation

3b. GSA

Committee No.

304

4. Is this New During Fiscal Year?

No

5. Current Charter

03/11/1996

6. Expected Renewal Date

7. Expected Term Date

03/11/1998

8a. Was Terminated During Fiscal Year?

Yes

8b. Specific Termination Authority

8c. Actual Term Date

03/11/1998

9. Agency Recommendation for Next Fiscal Year

Terminate

10a. Legislation Req to Terminate?

10b. Legislation Pending?

11. Establishment Authority

Agency Authority

12. Specific Establishment Authority

C.O. 3/26/76 41 F.R.
13393

13. Effective Date

03/30/1976

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee

Non Scientific Program Advisory Board

16a. Total Number of Reports

No Reports for
this Fiscal Year

17a. Open

0

17b. Closed

0

17c. Partially Closed

0

Other Activities

0

17d. Total

0

Meetings and Dates

No Meetings

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$0.00	\$0.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$302.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$0.00	\$0.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$0.00	\$0.00
18d. Total	\$302.00	\$0.00
19. Federal Staff Support Years (FTE)	0.00	0.00

20a. How does the Committee accomplish its purpose?

N/A

20b. How does the Committee balance its membership?

N/A

20c. How frequent and relevant are the Committee Meetings?

N/A

20d. Why can't the advice or information this committee provides be obtained elsewhere?

N/A

20e. Why is it necessary to close and/or partially closed committee meetings?

N/A

21. Remarks

This Committee was terminated in FY 1998.

Designated Federal Officer

TBD

Committee Members	Start	End	Occupation	Member Designation
Beaulieu, Paul	10/01/1997	09/30/1998	Deputy Director, National Association of Attorneys General	Special Government Employee (SGE) Member
Crawford, Denise	10/01/1997	09/30/1998	Securities Commissioner, Texas State Securities Board	Special Government Employee (SGE) Member
Damgard, John	10/01/1997	09/30/1998	President, Futures Industry Association	Special Government Employee (SGE) Member
Feigin, Phil	10/01/1997	09/30/1998	Securities Commissioner, Division of Securities Colorado	Special Government Employee (SGE) Member
Gaine, John	10/01/1997	09/30/1998	Director, government Relations, Managed Futures Association	Special Government Employee (SGE) Member
Harris, Dee	10/01/1997	09/30/1998	Director, Securities Division, Arizona Corporation Commission	Special Government Employee (SGE) Member
Holum, Barbara	10/01/1997	09/30/1998	Commissioner, commodity Futures Trading Commission	Special Government Employee (SGE) Member

Howard, Jr., John	10/01/1997	09/30/1998	Asst. Attorney General, Securities Division	Special Government Employee (SGE) Member
Jamison, Conny	10/01/1997	09/30/1998	Treasurer, city of San Diego	Special Government Employee (SGE) Member
Jones, II, Paul	10/01/1997	09/30/1998	Chairman & CEO, Tudor Investments	Special Government Employee (SGE) Member
Lindsey, Richard	10/01/1997	09/30/1998	Director, Div. of Market Regulation, Securities and Exchange Commission	Special Government Employee (SGE) Member
Newton, Robert	10/01/1997	09/30/1998	Director, Securities Dept, Office of the Secretary of State	Special Government Employee (SGE) Member
Ruck, Lee	10/01/1997	09/30/1998	General Counsel, National Association fo Countries	Special Government Employee (SGE) Member
Saxon, Don	10/01/1997	09/30/1998	Director, division of Securities, Florida	Special Government Employee (SGE) Member
Spearing, Mary	10/01/1997	09/30/1998	Chief of Fraud Section, U.S. Dept. of Justice	Special Government Employee (SGE) Member
Valdez, Danette	10/01/1997	09/30/1998	Senior Corporation Counsel	Special Government Employee (SGE) Member
Wilmouth, robert	10/01/1997	09/30/1998	President & CEO, National Futures Association	Special Government Employee (SGE) Member

Number of Committee Members Listed: 17

Narrative Description

What are the most significant program outcomes associated with this committee?

Checked if
Applies

- | | |
|---|--------------------------|
| Improvements to health or safety | <input type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input type="checkbox"/> |
| Advance in scientific research | <input type="checkbox"/> |
| Effective grant making | <input type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input checked="" type="checkbox"/> |
| Unable to Determine | <input type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

What is the approximate Number of recommendations produced by this committee for the life of the committee?

0

Number of Recommendations Comments

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

%

% of Recommendations Fully Implemented Comments

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

%

% of Recommendations Partially Implemented Comments

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☐ No ☐ Not Applicable ☒

Agency Feedback Comments

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

Is the Committee engaged in the review of applications for grants?

No

Grant Review Comments

How is access provided to the information for the Committee's documentation?

Checked if Applies

- | | |
|---------------------------|--------------------------|
| Contact DFO | <input type="checkbox"/> |
| Online Agency Web Site | <input type="checkbox"/> |
| Online Committee Web Site | <input type="checkbox"/> |
| Online GSA FACA Web Site | <input type="checkbox"/> |
| Publications | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Access Comments